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|----|---|--------------------------|--|
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| 8  | Attorneys for Complainant   |                          |  |
| 9  |   |                          |  |
| 10 | BEFORE THE<br>BOARD OF PSYCHOLOGY   |                          |  |
| 11 | DEPARTMENT OF CONSUMER AFFAIRS  |                          |  |
| 12 | STATE OF C  | ALIFORNIA                |  |
| 13 |   |                          |  |
| 14 | In the Matter of the Accusation Against:  | Case No. 600-2021-000368 |  |
| 15 | DONALD M. GOODMAN, Ph.D.  |                          |  |
| 16 | 123 Hodencamp Road, Suite 103<br>Thousand Oaks, California 91360-5896                         | ACCUSATION               |  |
| 17 | Psychologist License No. 22613,   |                          |  |
| 18 | Respondent.   |                          |  |
| 19 |   |                          |  |
| 20 |   |                          |  |
| 21 | <u>PARTIES</u>  |                          |  |
| 22 | 1. Antonette Sorrick (Complainant) brings this Accusation solely in her official capacity     |                          |  |
| 23 | as the Executive Officer of the Board of Psychology (Board).                                  |                          |  |
| 24 | 2. On April 3, 2009, the Board issued Psychologist License Number PSY 22613 to                |                          |  |
| 25 | Donald M. Goodman, Ph.D. (Respondent). That license was in full force and effect at all times |                          |  |
| 26 | relevant to the charges brought herein and will expire on February 28, 2025, unless renewed.  |                          |  |
| 27 | //  |                          |  |
| 28 |   |                          |  |
|    |   | 1                        |  |

## **JURISDICTION**

3. This Accusation is brought before the Board under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise indicated.

## **STATUTORY PROVISIONS**

4. Section 2920.1 of the Code states:

Protection of the public shall be the highest priority for the Board of Psychology in exercising its licensing, regulatory, and disciplinary functions. Whenever the protection of the public is inconsistent with other interests sought to be promoted, the protection of the public shall be paramount.

5. Section 2960 of the Code states, in pertinent part:

The board may refuse to issue any registration or license, or may issue a registration or license with terms and conditions, or may suspend or revoke the registration or license of any registrant or licensee if the applicant, registrant, or licensee has been guilty of unprofessional conduct. Unprofessional conduct shall include, but not be limited to:

- (i) Violating any rule of professional conduct promulgated by the board and set forth in regulations duly adopted under this chapter.
  - (j) Being grossly negligent in the practice of their profession.
- (k) Violating any of the provisions of this chapter or regulations duly adopted thereunder.
- (o) Any act of sexual abuse, or sexual relations with a patient or former patient within two years following termination of therapy, or sexual misconduct that is substantially related to the qualifications, functions or duties of a psychologist or registered psychological associate.
  - (r) Repeated acts of negligence.
- 6. Unprofessional conduct under section 2960 of the Code includes, without limitation, "that conduct which breaches the rules or ethical code of a profession, or conduct which is unbecoming a member in good standing of a profession." (Rand v. Board of Psychology (2012) 206 Cal.App.4th 565, 582 [quoting Shea v. Board of Medical Examiners (1978) 81 Cal.App.3d 564, 575].)

#### 7. Section 2960.1 of the Code states:

Notwithstanding Section 2960, any proposed decision or decision issued under this chapter in accordance with the procedures set forth in Chapter 5 (commencing with Section 11500) of Part 1 of Division 3 of Title 2 of the Government Code, that contains any finding of fact that the licensee or registrant engaged in any act of sexual contact, as defined in Section 728, when that act is with a patient, or with a former patient within two years following termination of therapy, shall contain an order of revocation. The revocation shall not be stayed by the administrative law judge.

#### 8. Section 726 of the Code states:

- (a) The commission of any act of sexual abuse, misconduct, or relations with a patient, client, or customer constitutes unprofessional conduct and grounds for disciplinary action for any person licensed under this or under any initiative act referred to in this division.
- (b) This section shall not apply to consensual sexual contact between a licensee and his or her spouse or person in an equivalent domestic relationship when that licensee provides medical treatment, to his or her spouse or person in an equivalent domestic relationship.
- 9. Section 728 of the Code states, in pertinent part:
  - (b) Failure to comply with this section constitutes unprofessional conduct.
  - (c) For the purpose of this section, the following definitions apply:
- (B) A psychologist licensed pursuant to Chapter 6.6 (commencing with Section 2900).
- (2) "Sexual behavior" means inappropriate contact or communication of a sexual nature. "Sexual behavior" does not include the provision of appropriate therapeutic interventions relating to sexual issues.
  - (3) "Sexual contact" means the touching of an intimate part of another person.
- (4) "Intimate part" and "touching" have the same meanings as defined in subdivisions (g) and (e), respectively, of Section 243.4 of the Penal Code.
- 10. Section 729 of the Code states, in pertinent part:
- (a) Any physician and surgeon, psychotherapist, alcohol and drug abuse counselor or any person holding himself or herself out to be a physician and surgeon, psychotherapist, or alcohol and drug abuse counselor, who engages in an act of sexual intercourse, sodomy, oral copulation, or sexual contact with a patient or client, or with a former patient or client when the relationship was terminated primarily for the purpose of engaging in those acts, unless the physician and surgeon, psychotherapist,

or alcohol and drug abuse counselor has referred the patient or client to an independent and objective physician and surgeon, psychotherapist, or alcohol and drug abuse counselor recommended by a third-party physician and surgeon, psychotherapist, or alcohol and drug abuse counselor for treatment, is guilty of sexual exploitation by a physician and surgeon, psychotherapist, or alcohol and drug abuse counselor.

For purposes of subdivision (a), in no instance shall consent of the patient or client be a defense. However, physicians and surgeons shall not be guilty of sexual exploitation for touching any intimate part of a patient or client unless the touching is outside the scope of medical examination and treatment, or the touching is done for sexual gratification.

- (c) For purposes of this section:
- (1) "Psychotherapist" has the same meaning as defined in Section 728.
- (3) "Sexual contact" means sexual intercourse or the touching of an intimate part of a patient for the purpose of sexual arousal, gratification, or abuse.
- (4) "Intimate part" and "touching" have the same meanings as defined in Section 243.4 of the Penal Code.

#### 11. Section 2919 of the Code states:

A licensed psychologist shall retain a patient's health service records for a minimum of seven years from the patient's discharge date. If the patient is a minor, the patient's health service records shall be retained for a minimum of seven years from the date the patient reaches 18 years of age.

12. Section 2936 of the Code states, in pertinent part:

The board shall adopt a program of consumer and professional education in matters relevant to the ethical practice of psychology. The board shall establish as its standards of ethical conduct relating to the practice of psychology, the 'Ethical Principles and Code of Conduct' published by the American Psychological Association (APA). Those standards shall be applied by the board as the accepted standard of care in all licensing examination development and in all board enforcement policies and disciplinary case evaluations...

#### REGULATORY PROVISIONS

13. California Code of Regulations, title 16, section 1394, states, in pertinent part:

For the purposes of denial, suspension, or revocation of a license or registration pursuant section 141 or Division 1.5 (commencing with section 475) of the Code, or sections 2960 or 2960.6 of the Code, a crime, professional misconduct, or act shall be considered to be substantially related to the qualifications, functions, or

duties of a person holding a license or registration under the Psychology Licensing Law (Chapter 6.6 of Division 2 of the Code), if to a substantial degree it evidences present or potential unfitness of a person holding a license or registration to perform the functions authorized by the license or registration, or in a manner consistent with the public health, safety, or welfare.

- (a) In making the substantial relationship determination required under subdivision (a) for a crime, the board shall consider the following criteria:
- (1) The nature and gravity of the offense;
- (2) The number of years elapsed since the date of the offense; and
- (3) The nature and duties of the profession in which the applicant seeks licensure or in which the licensee is licensed.
- (b) For purposes of subdivision (a), substantially related crimes, professional misconduct, or acts shall include, but are not limited to, the following:
- (1) Violating or attempting to violate, directly or indirectly, or assisting in or abetting the violation of or conspiring to violate any provision or term of the Psychology Licensing Law.
- 14. California Code of Regulations, title 16, section 1396.1, states:

It is recognized that a psychologist's effectiveness depends upon his or her ability to maintain sound interpersonal relations, and that temporary or more enduring problems in a psychologist's own personality may interfere with this ability and distort his or her appraisals of others. A psychologist shall not knowingly undertake any activity in which temporary or more enduring personal problems in the psychologist's personality integration may result in inferior professional services or harm to a patient or client. If a psychologist is already engaged in such activity when becoming aware of such personal problems, he or she shall seek competent professional assistance to determine whether services to the patient or client should be continued or terminated.

# AMERICAN PSYCHOLOGICAL ASSOCIATION ETHICAL PRINCIPLES

- 15. The *Ethical Principles of Psychologists and Code of Conduct*, at Section 3.05, referencing "Multiple Relationships," states:
  - (a) A multiple relationship occurs when a psychologist is in a professional role with a person and (1) at the same time is in another role with the same person, (2) at the same time is in a relationship with a person closely associated with or related to the person with whom the psychologist has the professional relationship, or (3) promises to enter into another relationship in the future with the person or a person closely associated with or related to the person.

A psychologist refrains from entering into a multiple relationship if the multiple relationship could reasonably be expected to impair the psychologist's objectivity, competence, or effectiveness in performing his or her functions as a psychologist, or otherwise risks exploitation or harm to the person with whom the professional relationship exists.

As used in this subdivision, "touches" means physical contact with another person, whether accomplished directly, through the clothing of the person committing the offense, or through the clothing of the victim.

23. Section 243.4, subsection (g)(1) of the California Penal Code section states:

"Intimate part" means the sexual organ, anus, groin, or buttocks of any person, and the breast of a female.

# **EVIDENCE CODE SECTIONS**

24. Section 1010, subsection (f), of the California Evidence Code states:

As used in this article, "psychotherapist" means a person who is, or is reasonably believed by the patient to be:

- (f) A person registered as a registered psychological associate who is under the supervision of a licensed psychologist as required by Section 2913 of the Business and Professions Code, or a person registered as an associate marriage and family therapist who is under the supervision of a licensed marriage and family therapist, a licensed clinical social worker, a licensed professional clinical counselor, a licensed psychologist, or a licensed physician and surgeon certified in psychiatry, as specified in Section 4980.44 of the Business and Professions Code.
- 25. Section 1011, of the California Evidence Code states:

As used in this article, "patient" means a person who consults a psychotherapist or submits to an examination by a psychotherapist for the purpose of securing a diagnosis or preventive, palliative, or curative treatment of his mental or emotional condition or who submits to an examination of his mental or emotional condition for the purpose of scientific research on mental or emotional problems.

#### **COST RECOVERY**

- 26. Section 125.3 of the Code states:
- (a) Except as otherwise provided by law, in any order issued in resolution of a disciplinary proceeding before any board within the department or before the Osteopathic Medical Board, upon request of the entity bringing the proceeding, the administrative law judge may direct a licensee found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.
- (b) In the case of a disciplined licensee that is a corporation or a partnership, the order may be made against the licensed corporate entity or licensed partnership.
- (c) A certified copy of the actual costs, or a good faith estimate of costs where actual costs are not available, signed by the entity bringing the proceeding or its designated representative shall be prima facie evidence of reasonable costs of investigation and prosecution of the case. The costs shall include the amount of

investigative and enforcement costs up to the date of the hearing, including, but not limited to, charges imposed by the Attorney General.

- (d) The administrative law judge shall make a proposed finding of the amount of reasonable costs of investigation and prosecution of the case when requested pursuant to subdivision (a). The finding of the administrative law judge with regard to costs shall not be reviewable by the board to increase the cost award. The board may reduce or eliminate the cost award, or remand to the administrative law judge if the proposed decision fails to make a finding on costs requested pursuant to subdivision (a).
- (e) If an order for recovery of costs is made and timely payment is not made as directed in the board's decision, the board may enforce the order for repayment in any appropriate court. This right of enforcement shall be in addition to any other rights the board may have as to any licensee to pay costs.
- (f) In any action for recovery of costs, proof of the board's decision shall be conclusive proof of the validity of the order of payment and the terms for payment.
- (g)(1) Except as provided in paragraph (2), the board shall not renew or reinstate the license of any licensee who has failed to pay all of the costs ordered under this section.
- (2) Notwithstanding paragraph (1), the board may, in its discretion, conditionally renew or reinstate for a maximum of one year the license of any licensee who demonstrates financial hardship and who enters into a formal agreement with the board to reimburse the board within that one-year period for the unpaid costs.
- (h) All costs recovered under this section shall be considered a reimbursement for costs incurred and shall be deposited in the fund of the board recovering the costs to be available upon appropriation by the Legislature.
- (i) Nothing in this section shall preclude a board from including the recovery of the costs of investigation and enforcement of a case in any stipulated settlement.
- (j) This section does not apply to any board if a specific statutory provision in that board's licensing act provides for recovery of costs in an administrative disciplinary proceeding.
- (k) Notwithstanding the provisions of this section, the Medical Board of California shall not request nor obtain from a physician and surgeon, investigation and prosecution costs for a disciplinary proceeding against the licensee. The board shall ensure that this subdivision is revenue neutral with regard to it and that any loss of revenue or increase in costs resulting from this subdivision is offset by an increase in the amount of the initial license fee and the biennial renewal fee, as provided in subdivision (e) of Section 2435.

#### 27. Section 2964.6 of the Code states:

An administrative disciplinary decision that imposes terms of probation may include, among other things, a requirement that the licensee who is being placed on probation pay the monetary costs associated with monitoring the probation.

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#### **FACTUAL ALLEGATIONS**

- 28. On or about April 23, 2021, the Board received a complaint from the legal representative of Kaiser Permanente. The complaint included a civil lawsuit filed by Patient 1<sup>1</sup> against Respondent. The complaint included numerous allegations of unprofessional conduct, including professional negligence, intentional infliction of emotional distress, battery, breach of fiduciary duty, sexual harassment, negligence per se, sexual battery, and sexual abuse by a therapist.
- 29. The records provided to the Board of Psychology indicate that Patient 1 was referred to Respondent through her insurance carrier, Kaiser Permanente. Patient 1 began treatment with Respondent on or about December 13, 2017. Respondent terminated therapy with Patient 1 on or about September 28, 2019.
- 30. The complaint was investigated and the information obtained was submitted for review to an expert consultant board certified in forensic psychology.
- 31. The Board's expert consultant reviewed materials including but not limited to records submitted by the Board, the Kaiser complaint, the Investigator's report, Patient 1's notes and summary of the complaint, screenshots of Patient 1's e-mails and texts with Respondent, Los Angeles Superior Court Documents and Insurance Settlement regarding the \$85,000 settlement of a Civil Complaint, e-mails between the Board and the parties, the Investigator's interviews with Patient 1 and the Respondent, Respondent's psychological records for Patient 1, and Respondent's Letter of Termination.
- 32. According to the complaint, Patient 1 reported that Respondent had engaged in inappropriate and unprofessional conduct, which included sexual contact. Specifically, Patient 1 alleged that Respondent hugged her without her consent, repeatedly "touching me in an intimate and sexual manner."
- 33. Patient 1 alleged that Respondent used "excessive force" during one of his hugs, which resulted in physical bruising.

<sup>&</sup>lt;sup>1</sup> To protect the privacy of the patients and witnesses involved, patient and witness names have not been included in this pleading. Patients and witnesses are referred to by number to protect their privacy.

- 34. Patient 1 alleged that during the course of therapy, Respondent was "touching my butt, pressing himself tightly against me, embracing me for extended periods of time (around 20 seconds) kissing my cheek and hair, putting his hand under my shirt.....asking me to take off my bra and watched as I did, commenting on my breasts, as well as inquiring about my sexual fantasies."
- 35. Patient 1 alleged that Respondent "placed his hand under Patient 1's blouse and touched Patient 1's breasts."
- 36. Patient 1 alleged professional boundary violations, including Respondent asking for and receiving gifts and disclosing personal information about himself and other patients.
- 37. Patient 1 alleged that Respondent terminated therapy without an adequate plan or notice.
- 38. Respondent denied any sexual contact between himself and Patient 1. Respondent acknowledged that he hugged Patient 1 but said the hugs were "very few." Respondent stated that the patient asked for the hugs and that he "reluctantly acquiesced now and then."
- 39. Respondent disclosed he had texted Patient 1 but said that all of the texts were "professional in nature."
- 40. Respondent admitted to giving his records of Patient 1's treatment with him to Patient 1 without making any copies.
- 41. Respondent denied any unprofessional conduct during the entire course of his treatment with Patient 1.

# **Ethical Violations**

#### Sexual Misconduct/Behavior with a Patient

42. Sexual contact of any kind between a therapist and a client is unethical and illegal in the State of California. Sexual contact is defined as "inappropriate contact or communication of a sexual nature." The *Ethical Principles of Psychologists and Code of Conduct* (EPPCC)<sup>2</sup> Section 10.05 states, under "Sexual Intimacies with Current Therapy Clients/Patients" that

<sup>&</sup>lt;sup>2</sup> American Psychological Association. (2017). Ethical Principles of Psychologists and Code of Conduct (2002, amended effective June 1, 2010, and January 1, 2017). <a href="http://www.apa.org/ethics/code/index.html">http://www.apa.org/ethics/code/index.html</a>.

"Psychologists do not engage in sexual intimacies with current therapy clients/patients."

- 43. It is never appropriate to engage in sexual intimacies with a current patient.
- 44. Such actions can lead to an easing of professional boundaries that may result in unethical multiple relationships.
- 45. The ethical obligation to avoid sexual intimacies with clients/patients lies solely with the therapist, not with the client/patient.
- 46. Any sexual intimacy between psychologists and clients/patients represents a violation of this standard regardless of whether clients/patients initiated sexual contact or voluntarily or involuntarily responded to therapists' overtures.
- 47. Patients depend on psychologists to only take actions that promote their best interests. As a result of the nature of the professional relationship, psychologists' relative power in relation to the clients' vulnerability, client's trust of psychologists, and the inevitable harm that results from sexually intimate relationships between client and psychologist, it is simply never appropriate to have sexual contact of any kind with current patients.
- 48. Even if the actions were initiated by the patient, and even if the therapist is emotionally or sexually attracted to the patient, it is never appropriate to act out those feelings or engage in this type of behavior.
- 49. Patient 1's records show that she became Respondent's patient on December 13, 2017, and Respondent at all times identified himself as Patient 1's psychologist and treatment provider.
- 50. During Patient 1's treatment period, Respondent engaged in the following inappropriate sexual contact/communication with Patient 1:
  - a. Patient 1 alleged that the Respondent began engaging in inappropriate contact by initiating hugs.
  - b. Patient 1 alleged that Respondent's physical contact became sexual when Respondent "... would press his penis against me, and I could feel his erection, and he would -- he would stick his hand, um, he would stick his hand on my butt, and he would even stick it partially down my pants..."

- c. Patient 1 alleged that Respondent "... asked me if I wanted to show him my lingerie, and I said yes. And he -- I lifted up my shirt and he watched me lift up my shirt so that I could show him my lingerie, and he just stood there and watched..."
- d. Patient 1 alleged that "... I said, um, "My bra's hurting me and he said You can take it off..."
- e. Patient 1 alleged that when Respondent hugged Patient 1 "...he'd give me full body hugs, and, um, he would tell me he could feel my breasts or he say, "You're not wearing a bra..."
- f. Patient 1 alleged that Respondent "...would kiss his mouth on my hair."
- g. Patient 1 alleged that Respondent placed his hand under her blouse "At least four or five times. And he said he wouldn't do it, but he would, he'd slip his hands right up under here. . ."
- 51. The above conduct constitutes extreme departures from the standard of care.

#### Improper Multiple Relationship/Multiple Boundary Violations

- 52. EPPCC Section 3.05, referencing "Multiple Relationships," requires a psychologist to refrain from entering into a multiple relationship if the multiple relationship could reasonably be expected to impair the psychologist's objectivity, competence, or effectiveness in performing his or her functions as a psychologist, or otherwise risks exploitation or harm to the person with whom the professional relationship exists.
- 53. Psychologists who engage in excessive or problematic self-disclosure can potentially violate professional ethics. Self-disclosure during therapy should only occur for the benefit of the patient and generally for a valid therapeutic reason. Self-disclosure done by the therapist that is excessive, seductive, exploitative, or one that benefits the therapist (i.e., the therapist wishes to unburden himself to deal with his/her own personal issues) can be unethical.
- 54. It is exploitative and unethical to self-disclose if the therapist is using that self-disclosure to get their own needs met by the client.
  - 55. Intentional self-disclosure may be unethical when it becomes a boundary violation. A

boundary violation is a departure from the typical standard of practice and may have the effect of harming the patient. For example, a therapist's self-disclosure of his intimate personal details that was not done for the benefit of the patient would be considered exploitative. If a therapist uses a patient for personal gain or personal gratification, this will violate the above principle and constitute a boundary violation.

- 56. A boundary violation occurs when psychologists share personal information with clients/patients, to satisfy their own needs. There does not appear to be any definitive therapeutic reason for such self-disclosure, other than to satisfy the needs of the therapist.
- 57. Maintaining professional boundaries is integral to the ethical and professional practice of psychology. If the psychologist blends the professional relationship with another, personal one it has the potential to destroy the therapeutic alliance between the therapist and the patient as well as to taint future therapeutic interactions.
- 58. During Patient 1's treatment period Respondent engaged in serious, repeated boundary violations with Patient 1:
  - a. Patient 1 alleged that Respondent disclosed personal and intimate details of his sexual life with Patient 1.
  - b. Patient 1 alleged that Respondent discussed his personal medical history.
  - c. Patient 1 provided texts that showed Respondent explicitly asked for gifts.
  - d. Patient 1 provided texts that showed Respondent requested and received bracelets made for Respondent.
  - e. Patient 1 provided texts that showed Respondent asked Patient 1 if she knew "...
    someone that can transfer my cassettes to cd at a good price and mix to good
    quality at the same time. [sic]"
  - f. Patient 1 provided texts that showed Respondent that he was undergoing a colonoscopy and that "...he has only one pollup. [sic]"
  - g. Patient 1 alleged that Respondent discussed intimate details of his past sexual behavior with her, such as "... having sex on a piano."
  - h. Patient 1 alleged that Respondent agreed to supervise Patient 1 in a professional

setting while she ran therapeutic groups in a text message when he said, "Yes!! I will of course supervise you butt [sic] I have a couple stipulations, do you help me respect the boundaries and no more cutting."

59. The above conduct constitutes serious boundary violations and extreme departures from the standard of care.

## Failure To Maintain Patient Records

- 60. EPPCC Section 6.01, which requires psychologists to create, maintain, disseminate, store, retain, and dispose of records relating to their professional work, and Code section 2919, which requires a licensed psychologist to retain a patient's health service records for a minimum of seven years from the patient's discharge date, create legal and ethical obligations to maintain patient records.
- 61. Respondent admitted he gave Patient 1's entire chart and treatment notes of Patient 1's approximately two years of therapy directly to the client without keeping a copy. The records Respondent released without retaining a copy contained extensive information necessary for documentation of the treatment provided to Patient 1 and would have ensured the continuity of care.
- 62. Respondent's failure to maintain records of all of Patient 1's therapy sessions for the requisite amount of time violates the established standard of care and constitutes an extreme departure from the standard of care.

#### Failure To Properly Terminate the Therapeutic Relationship

- 63. EPPCC Section 10.10 requires a therapist to ensure the process of terminating a patient's therapy is consistent with the patient's best interest. The termination of therapy is considered a process rather than one specific event. The therapist is ethically obligated to participate in pre-termination counseling before ending the therapeutic relationship.
- 64. EPPCC Section 3.10, referencing informed consent, requires that during the process of terminating therapy the therapist use language that is reasonably understandable to the patient in order to communicate with the patient.
  - 65. Respondent terminated Patient 1's therapy after approximately two years of therapy.

At the end of Patient 1's appointment on or about September 28, 2019, Respondent told Patient 1 that he was terminating all of his patients, including her. Later, the Respondent provided a termination letter to Patient 1. Respondent's termination letter indicates that he decided to cancel all future appointments with Patient 1, states his reasons for termination in the letter, and includes three referrals to different therapists.

- 66. Providing referrals to different therapists upon termination of a patient's therapy is not ethically sufficient to fulfill EPPCC Standard 10.10.
- 67. Termination is generally considered a process rather than one specific event. A therapist has an ethical obligation to participate in the process of pre-termination counseling prior to ending the therapeutic relationship. Pre-termination counseling can include providing the patient with advance notice of the end date of the therapy, reviewing the gains made in treatment with the patient, considering potential future treatment issues with the patient, and offering referrals to other treatment providers. Respondent's records do not detail a process of termination. Respondent's records do not detail the use of language that is reasonably understandable to the patient in order to communicate with the patient.
- 68. If termination is unilateral, the therapist has an ethical obligation to document the reason for ending the therapy and use the ethically appropriate and clinically necessary steps to address the patient's future needs. The respondent's provision of three referrals to Patient 1 in a letter is not sufficient to satisfy the ethical and clinically necessary steps required.
- 69. American Psychological Association Ethics Standard 10.10 discusses the standard of care regarding the therapist's duty to provide informed consent and pre-termination counseling to the patient. The duty of informed consent requires that the patient be informed ahead of time when termination will occur, under what circumstances, the offer of a series of counseling sessions, and appropriate referrals.
- 70. Patient 1's therapy lasted approximately two years. Respondent's conduct in stating at the end of Patient 1's therapy session that he was terminating Patient 1's therapy with no previous discussion, and thereafter providing Patient 1 with a letter that contained three referrals, and failing to provide pre-termination counseling violates the standard of care.

- 71. The respondent has no documentation regarding the termination session.

  Documentation is a key component of termination structure and competence and verifies that a clinically and ethically appropriate process has occurred. The letter Respondent provided to Patient 1 is inadequate to satisfy Respondent's ethical obligations for an informed consent during a patient's termination process. Respondent's failure to properly document Patient 1's termination is an extreme departure from the standard of care.
- 72. Respondent's failure to properly terminate Patient 1 in an ethical manner by failing to engage in appropriate pre-termination counseling as required by the EPPCC is a violation of the established standard of care and constitutes an extreme departure from the standard of care.

# FIRST CAUSE FOR DISCIPLINE

(Sexual Exploitation of a Patient)

- 73. Respondent has subjected his Psychologist License No. 22613 to disciplinary action under section 2960 of the Code, as defined by section sections 726, subdivision (a), and 729 of the Code, in that he engaged in sexual exploitation of a patient during the psychologist-patient relationship.
- 74. Complainant refers to and, by this reference, incorporates herein, paragraphs 28-41, and 42-51, above, as though fully set forth herein.
- 75. The American Psychological Association's *Ethical Principles of Psychologists and Code of Conduct* (2002) and Amendments (2010 and 2017) set forth the relevant standard of care and rules for the profession of psychology, and have been adopted by the Board.
- 76. Ethical Principles of Psychologists and Code of Conduct, Section 10.05 Sexual Intimacies with Current Therapy Clients/Patients, states "Psychologists do not engage in sexual intimacies with current therapy clients/patients."
- 77. Respondent's repeated conduct with Patient 1 which included hugs, pressing his erect penis against Patient 1, requests for Patient 1 to show him her lingerie, kissing Patient 1's hair, and placing his hand under Patient 1's blouse constitutes sexual contact, pursuant to Code section 2960.1.

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## **SECOND CAUSE FOR DISCIPLINE**

(Sexual Misconduct/Behavior with Current Patient)

- 78. Respondent is subject to disciplinary action under Code sections 2960, subdivision (o), and 2960.1, and section 726, of the Code and California Code of Regulations, title 16, section 1394, subdivisions (a), in that Respondent repeatedly engaged in sexual misconduct with Patient 1 during the psychologist-patient relationship.
- 79. Complainant refers to and, by this reference, incorporates herein, paragraphs 28-41, and 42-51, above, as though fully set forth herein.
- 80. The American Psychological Association's *Ethical Principles of Psychologists and Code of Conduct* (2002) and Amendments (2010 and 2017) set forth the relevant standard of care and rules for the profession of psychology, and have been adopted by the Board.
- 81. Ethical Principles of Psychologists and Code of Conduct, Section 10.05 Sexual Intimacies with Current Therapy Clients/Patients, states "Psychologists do not engage in sexual intimacies with current therapy clients/patients."
- 82. Respondent's repeated conduct with Patient 1 which included hugs, pressing his erect penis against Patient 1, requests for Patient 1 to show him her lingerie, kissing Patient 1's hair, and placing his hand under Patient 1's blouse constitutes sexual contact, pursuant to Code section 2960.1.

## THIRD CAUSE FOR DISCIPLINE

(Multiple Relationships)

- 83. The American Psychological Association's *Ethical Principles of Psychologists and Code of Conduct* (2002) and Amendments (2010 and 2017) set forth the relevant standard of care and rules for the profession of psychology, and have been adopted by the Board.
- 84. Complainant refers to and, by this reference, incorporates herein, paragraphs 28-41, and 42-51, above, as though fully set forth herein.
- 85. Ethical Principles of Psychologists and Code of Conduct, Section 3.05 Multiple Relationships, requires a psychologist to refrain from entering into a multiple relationship if the multiple relationship could reasonably be expected to impair the psychologist's objectivity,

competence, or effectiveness in performing his or her functions as a psychologist, or otherwise risks exploitation or harm to the person with whom the professional relationship exists.

- 86. Ethical Principles of Psychologists and Code of Conduct, Section 3.08 Exploitative Relationships, requires that a psychologist does not exploit a person over whom they have supervisory, evaluative or other authority such as clients/patients.
- 87. Respondent's boundary violations with Patient 1 which included Respondent's exploitive behavior with a patient which included Respondent's disclosure of personal and intimate details of his sexual life to Patient 1, disclosure of his personal medical history, Respondent's requests for gifts from Patient 1, Respondent's receipt of gifts from Patient 1, Respondent's requests for referrals to people to provide services to Respondent, and Respondent's agreement to supervise Patient 1 while she ran therapeutic groups while Respondent was Patient 1's psychologist, constitutes multiple relationships, pursuant to pursuant to Code section 2960.1.

## **FOURTH CAUSE FOR DISCIPLINE**

(Failure to Maintain Adequate Treatment Records)

- 88. The American Psychological Association's *Ethical Principles of Psychologists and Code of Conduct* (2002) and Amendments (2010 and 2017) set forth the relevant standard of care and rules for the profession of psychology, and have been adopted by the Board.
- 89. Complainant refers to and, by this reference, incorporates herein, paragraphs 61-62, above, as though fully set forth herein.
- 90. The Ethical Principles of Psychologists and Code of Conduct, Section 6.01, referencing "Documentation of Professional and Scientific Work and Maintenance of Records," requires psychologists to create, maintain, disseminate, store, retain, and dispose of records relating to their professional work, and Code section 2919, which requires a licensed psychologist to retain a patient's health service records for a minimum of seven years from the patient's discharge date, create legal and ethical obligations to maintain patient records.
- 91. Respondent's provision of Patient 1's entire chart and treatment notes of Patient 1's approximately two years of therapy directly to the client without keeping a copy constitutes a

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27 28 violation of his ethical duty to create, maintain, disseminate, store, retain, and dispose of records relating to his professional work pursuant to Code section 2919.

## FIFTH CAUSE FOR DISCIPLINE

(Failure to Ethically Terminate Therapy)

- 92. The American Psychological Association's Ethical Principles of Psychologists and Code of Conduct (2002) and Amendments (2010 and 2017) set forth the relevant standard of care and rules for the profession of psychology, and have been adopted by the Board.
- Complainant refers to and, by this reference, incorporates herein, paragraphs 64-71, above, as though fully set forth herein.
- The Ethical Principles of Psychologists and Code of Conduct, Section 10.10 requires 94. a therapist to ensure the process of terminating a patient's therapy is consistent with the patient's best interest. The termination of therapy is considered a process rather than one specific event. The therapist is ethically obligated to participate in pre-termination counseling before ending the therapeutic relationship.
- The Ethical Principles of Psychologists and Code of Conduct, Section 3.10, referencing informed consent, requires that during the process of terminating therapy the therapist use language that is reasonably understandable to the patient in order to communicate with the patient.
- Respondent's process of termination of Patient 1's therapy which included 96. Respondent's terminating therapy with no previous discussion with Patient 1, the failure to use language that was reasonably understandable to communicate with Patient 1 regarding the termination process, failing to provide pre-termination counseling, and Respondent's failure to properly document Patient 1's termination constitutes a violation of his ethical duty regarding termination of therapy pursuant to Code section 2936.

#### SIXTH CAUSE FOR DISCIPLINE

(Gross and Repeated Negligence)

Respondent is subject to disciplinary action under Code section 2960, subdivisions (j) 97. and (r), in that he was grossly and repeatedly negligent in his treatment of Patient 1.

- 98. Complainant refers to and, by this reference, incorporates herein, paragraphs 28-96, above, as though fully set forth herein.
- 99. Respondent's violation of *Ethical Principles of Psychologists and Code of Conduct*, Section 10.05 by repeated conduct with Patient 1 which included hugs, pressing his erect penis against Patient 1, requests for Patient 1 to show him her lingerie, kissing Patient 1's hair, and placing his hand under Patient 1's blouse constitutes sexual exploitation of a patient and is an extreme departure from the standard of care.
- 100. Respondent's violation of *Ethical Principles of Psychologists and Code of Conduct*, Section 10.05 by repeated conduct with Patient 1 which included hugs, pressing his erect penis against Patient 1, requests for Patient 1 to show him her lingerie, kissing Patient 1's hair, and placing his hand under Patient 1's blouse constitutes sexual contact and is an extreme departure from the standard of care.
- 101. Respondent's violation of *Ethical Principles of Psychologists and Code of Conduct*,
  Section 3.05 resulting from his boundary violations with Patient 1 which included Respondent's disclosure of personal and intimate details of his sexual life to Patient 1, disclosure of his personal medical history, Respondent's requests for gifts from Patient 1, Respondent's receipt of gifts from Patient 1, Respondent's requests for referrals to people to provide services to Respondent, and Respondent's agreement to supervise Patient 1 while she ran therapeutic groups while Respondent was Patient 1's psychologist, constitutes multiple relationships, and is an extreme departure from the standard of care.
- 102. Respondent's violation of Ethical Principles of Psychologists and Code of Conduct, Section 10.10, resulting from Respondent's process of termination of Patient 1's therapy which included Respondent's terminating therapy with no previous discussion with Patient 1, no informed consent, failing to provide pre-termination counseling, and Respondent's failure to properly document Patient 1's termination constitutes a violation of his ethical duty regarding termination of therapy, and is an extreme departure from the standard of care.
- 103. Respondent's violation of *Ethical Principles of Psychologists and Code of Conduct*, Section 6.01, resulting from Respondent's provision of Patient 1's entire chart and treatment

| 1  | notes of Patient 1's approximately two years of therapy directly to the client without keeping a      |  |
|----|---|--|
| 2  | copy constitutes a violation of his ethical duty to create, maintain, disseminate, store, retain, and |  |
| 3  | dispose of records relating to his professional work, is an extreme departure from the standard o     |  |
| 4  | care.   |  |
| 5  | SEVENTH CAUSE FOR DISCIPLINE  |  |
| 6  | (General Unprofessional Conduct)  |  |
| 7  | 104. Respondent is subject to disciplinary action under Code section 2960 in that he                  |  |
| 8  | engaged in unprofessional conduct. The circumstances are as follows:                                  |  |
| 9  | 105. The allegations in the First through Sixth Causes for Discipline in paragraphs 28-103            |  |
| 10 | above, are incorporated herein by reference as if fully set forth.                                    |  |
| 11 | PRAYER  |  |
| 12 | WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged                  |  |
| 13 | and that following the hearing, the Board of Psychology issue a decision:                             |  |
| 14 | 1. Revoking or suspending Psychologist License Number PSY 22613, issued to                            |  |
| 15 | DONALD M. GOODMAN, Ph.D.;   |  |
| 16 | 2. Ordering DONALD M. GOODMAN to pay the Board of Psychology the reasonable                           |  |
| 17 | costs of the investigation and enforcement of this case and, if placed on probation, the costs of     |  |
| 18 | probation monitoring; and   |  |
| 19 | 3. Taking such other and further action as deemed necessary and proper.                               |  |
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| 21 | DATED: August 9, 2023   |  |
| 22 | ANTONETTE SORRICK Executive Officer   |  |
| 23 | Board of Psychology   |  |
| 24 | Department of Consumer Affairs<br>State of California   |  |
| 25 | Complainant   |  |
| 26 | LA2023602270<br>66148569.docx   |  |
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